

**CLASS 2 & 3 RAILROAD COMPANIES
2015
ANNUAL REPORT**

FOR

(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

(OFFICIAL MAILING ADDRESS)

(CITY)

(STATE)

(ZIP)

Please check if address listed above is an updated address

Report Year Ended: December 31, 2015

Inquiries concerning this Annual Report should be addressed to:

Name/Title: _____
Address: _____
City: _____
State/Zip: _____
Telephone: _____
Email: _____

SUBMIT TO:
WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION
PO Box 47250
Olympia, WA 98504-7250

File online: www.utc.wa.gov



REPORT MUST BE RECEIVED NO LATER THAN MAY 2, 2016

Please refer to the Instructions for Completing the Annual Report on Page 2

INSTRUCTIONS FOR ANNUAL REPORT COMPLETION

Commission Authority

The purpose of this form is to collect financial and operational information from railroad companies regulated by the Washington Utilities and Transportation Commission (UTC). The commission's authority for requiring this report is found in RCW 81.04.080. This report is a non-confidential public use form.

Certification

The Annual Report Certification must be signed by an authorized officer, partner or owner.

Regulatory Fees

Regulatory fees are set by commission order A-140166.

Deadlines and Penalties

All railroad companies regulated by the UTC are required to complete this form, including all schedules. Failure to complete all schedules will result in the report being considered incomplete and subject to penalties. Completed forms and regulatory fee payments must be received by the UTC no later than **May 2, 2016**. Failure to file the annual report by the above deadline will result in a financial penalty of \$100 for each business day after May 2. Failure to pay the regulatory fees by the above deadline will result in a 2 percent penalty on the amount due and a 1 percent monthly interest charge on the unpaid balance.

Extension Requests

You may file a written request for an extension to file the completed annual report; however, the commission will not extend the deadline for paying regulatory fees. Any extension request must be filed with the commission by **April 15, 2016**, and must state a valid reason for why the extension is needed and identify a specific date which the report will be filed with the commission. The commission will notify you by April 30 whether your request is approved or denied. Even if your request is approved, you will still be liable for penalties and interest payments if you fail to pay your regulatory fees by May 2. To file your extension request online visit:

<http://www.utc.wa.gov/docs/Pages/ElectronicFiling.aspx>

Confidential Status

Railroad companies are not permitted to file annual report documents as confidential. If a company regulated under RCW Title 81 submits its annual report or fee sheet as confidential, the commission will reject that submission and will not consider the report to be filed until the date the company submits the report and all required documents and information without any designation of confidentiality. If that date is after May 2, the company will be subject to the penalties described above. The commission will not disclose credit card information, if included, on the Payment Information Page or via online filing.

Electronic Filing and Payment

To obtain an electronic format of the report, submit a report online or pay your regulatory fees online visit:

www.utc.wa.gov/regulatedindustries/pages/annualreports

Staff Contact

Kim Anderson at (360) 664-1153 or kanderso@utc.wa.gov
TTY Toll-Free phone number 1-800-416-5289

ANNUAL REPORT CERTIFICATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned _____
Responsible Account Officer (Please Print)

of _____
Name of Company

have examined the foregoing report; that, to the best of my knowledge and belief, all statement of fact contained in said report are true and said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from January 1, 2015, to December 31, 2015, inclusive.

Title
(please print)

Signature
(please type if filing electronically)

Telephone Number

Date

GENERAL INFORMATION

Washington Unified Business Identifier (UBI) No.: _____
(If you do not know your UBI No. please contact Business Licensing Service at 1-800-451-7985 or BLS@dor.wa.gov)

Business Structure (please check the appropriate designation):

Individual / Sole Proprietor Partnership Other (LP, LLP, LLC) Corporation Nonprofit Corporation

List the name, title, and percentage of partner's share or stock distribution for major stockholders. If LLC, list members and percentage of ownership.

Name	Title	Percent / Shares / Stock / Ownership

SCHEDULE 1

GENERAL OPERATIONS

1	Total mileage of tracks owned and/or operated in Washington		
2	Principal Commodities (other than hazardous materials hauled in Washington)		
3	All hazardous materials hauled for the period covered by this report (list specific chemicals, such as anhydrous ammonia, chlorine)		
4	All hazardous materials expected to be hauled in the current calendar year		
5	List or attach a list of all rail yards that your company operates in the state of Washington		
6	List all other railroads that have trackage rights on lines owned by your company		
7	State the amount of all revenue earned through interstate operations		
8	Did the company transport crude oil in Washington during the 2015 reporting year?	No	Schedule 3 NOT Required

Organization qualifies as non-profit charitable organization under IRS Code 501(c)(3)
(non-profit organizations are EXEMPT from paying UTC regulatory fees)

SCHEDULE 2

GROSS INTRASTATE OPERATING REVENUES

Freight	
Switching	
Demurrage	
Incidental	
Trackage Rights or Leases	
Miscellaneous	
Engineering Services	
Track maintenance, repairs or upgrades	
Car repair	
Engine repair	
Signal maintenance, repairs or upgrades	
Car storage	
Other	
Income from joint facilities, companies or operations	
Passenger	
TOTAL (Enter on Line 1 of Regulatory Fee Calculation Schedule)	

SCHEDULE 3

Additional filing requirements for companies transporting crude oil by rail

Provide statement or attachments containing the following:

1	All insurance carried by the railroad company that covers any losses resulting from a reasonable worst case spill		Used to calculate railroads financial ability to pay the amount in Line 7
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Note: Information for the railroads total Commercial Liability Insurance amount or the coverage amount and type of insurance referenced in the Form 10-K, Annual Report to Section 13 or 15(d) of the Securities Exchange Act of 1934, identified in Item 1A. Risk Factors and Part II, if different.

2	Coverage amounts, limitations, and other conditions of the insurance identified in Line 1.		Used to calculate railroads financial ability to pay the amount in Line 7
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Note: Information for the railroads total Commercial Liability Insurance amount or the coverage amount and type of insurance referenced in the Form 10-K, Annual Report to Section 13 or 15(d) of the Securities Exchange Act of 1934, identified in Item 1A. Risk Factors and Part II, if different.

3	Average and largest crude oil train, as measured in barrels, operating in Washington by the railroad company in the previous calendar year.		Average: barrels
			Largest: barrels

4	Information sufficient to demonstrate the railroad company's ability to pay the costs to clean up a reasonable worst case spill of oil as defined below.		Information may include, but not limited to, insurance, reserve accounts, letters of credit, or other financial instruments or resources on which the company can rely to pay all such costs.
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Note: Cash on hand or account designated to pay for environmental incidents and mitigation.

Conversion: 1 barrel = 42 gallons

Calculating reasonable worst case spill

5	Maximum Operating Speed ¹		
6	Reasonable Worst Case % ²		%
7	Costs for reasonable worst case spill ³		

Notes:

¹Maximum Operating Speed means the top speed tht the railroad company operates any train carrying crude oil in the state

²Formula: $[\text{Maximum Operating Speed}/65]^2$

³Cost Formula: \$16,800 per barrel of the largest train of crude oil multiplied by Reasonable Worst Case %

REGULATORY FEE CALCULATION SCHEDULE
Due May 1, 2015

Company Name _____

Annual Report Year
2015

In accordance with RCW 81.24.010 "Regulatory Fees", the Commission requires Railroad (Class II & III) companies to file reports of gross intrastate operating revenue and pay fees on that revenue. Every company subject to regulation shall file with the Commission a statement under oath showing its gross intrastate operating revenue from operations for the preceding year and pay to the Commission a fee as instructed below.

Regulatory Fee Calculations

1 Total Gross Intrastate Operating Revenue (From Schedule 2)	_____	
2a If Line 1 UNDER \$1300 or non-profit organization, enter ZERO (skip to Line 3)	_____	
2b If Line 1 OVER \$1300, skip to line 3 or 4		
3 If company transported oil by rail, use line 3a to calculate regulatory fees owed		
3a Total Regulatory Fees owed (enter from Line 1)	_____ x 0.025	_____
4 If company did NOT transport oil by rail, use line 4a to calculate regulatory fees owed		
4a Total Regulatory Fees owed (enter from Line 1)	_____ x 0.015	_____
		Agency Use Only 001-111-0268-210-01

Penalty & Interest Calculations

5 Penalties on Regulatory Fees being paid after May 2		
5a Total Penalties on Regulatory Fees owed (enter amount from Line 3a or 4a x 2%)	_____ x 0.02	_____
6 Interest on Regulatory Fees being paid after May 31		
6a Amount from Line 3a or 4a x Number of month past May 31 x 1%	_____ x _____ x 0.01	_____
7 Total Penalties and Interest owed (Line 5a plus Line 6a)		_____

8 Total Regulatory, Penalties and Interest Fees Due (Line 3a or 4a plus Line 7)		_____
		Agency Use Only 001-111-0268-210-11

COMMISSION USE ONLY

Reception #:	_____	001-111-0268-210-01	(1.5%)
Reference:	AR2015	001-111-0268-214-01	(1.0%)
Payment ID:	_____	001-111-0268-210-11	
		001-111-0268-032-20	
		Total Paid:	_____

PAYMENT INFORMATION

The commission accepts the following methods of payment:

- Cash (in-person at commission)
- Check (must be in US Funds)
- Online payments* (ACH, American Express, Discover/Novus, Mastercard, Visa)
- Pay-by-phone (credit card payments only) at (360) 664-1349

**Please note: A convenience fee of 2.5 percent (minimum of \$3.95) is charged by Official Payments for using the credit card processing service.*

To pay online visit:

<https://fortress.wa.gov/wutc/utcweb/regulatedIndustries/Pages/onlinepayments.as>

FILING YOUR REPORT

All annual reports and regulatory fees must be received by the commission no later than May 1 each year (or the following business day if May 1 lands on a weekend). Postmark dates are not considered the date received. It is strongly recommended to use a mail delivery service, such as certified mail via USPS, with delivery confirmation or filing online to receive an email notification of receipt.

Reports may be mailed, faxed, delivered in person or submitted online:

- Mail to: *(recommend via certified mail no later than April 15 to ensure timely delivery)*
Utilities and Transportation Commission
PO Box 47250
Olympia, WA 98504
- Physical Address for express delivery services (Fedex, UPS):
1300 S. Evergreen Park Dr. S.W.
Olympia, WA 98504
- Fax to: (360) 664-1289 *(Contact commission staff below to verify receipt)*
- File online using the commission e-file system: *(System will generate automatic email receipt)*
<https://fortress.wa.gov/wutc/utcweb/docs/Pages/ElectronicFiling.asp>

NEED MORE ASSISTANCE?

For more information about annual reports please reference the Annual Report FAQ document at the website below or contact Kim Anderson at (360) 664-1153 or kanderso@utc.wa.gov

<https://fortress.wa.gov/wutc/utcweb/regulatedindustries/Pages/annualReports.aspx>